

Legislation Committee Update

Purpose: To update Council on the Legislation Committee's activities in June, July and August 2020

No motion required

Prepared by: J. Max, Manager, Policy, LEC Committee Advisor

1. Status Update

- The 2020-2021 Legislation Committee members first met on June 12, 2020, and elected Lisa MacCumber, P.Eng. as Chair and Sandra Ausma, P.Eng. as Vice-chair. Its other members are Chantal Chiddle, P.Eng., Wayne Kershaw, P.Eng., and Sherlock Sung. Its 2020-21 Work Plan has been submitted to Council for this meeting for information.
- The committee also met July 24th and August 28th. Over the summer, the Committee has been engaged in reviewing draft Regulations regarding the Provisional Licence, NPPE, and housekeeping items, as well as a by-law change, all that are before Council this meeting. The Committee is also reviewing proposed changes to the Regulation that will come into effect with the government's proclamation of the *Ontario Not-for-Profit Corporations Act, 2010*, likely later this fall.
- The Committee is also reviewing staff proposals to consider a number of possible changes to the *Professional Engineers Act* to address mandatory annual reporting and other efficiency measures and will be bringing these forward in due course using the Act Change Protocol.

2. Background

- Section 30(1) of By-Law No. 1 grants Council the power to appoint the Legislation Committee. The Legislation Committee is not a policy-generating committee.
- By Resolution dated May 8th, 2009, Council appointed the Legislation Committee as a Board Committee, comprised entirely of sitting Councillors.
- The Legislation Committee's mandate in its Terms of Reference (last amended December 2017) is:

"...to provide oversight and guidance to matters pertaining to PEO's Act, Regulation and By-Laws. This will include but not be limited to:

- (i) acting as custodian for PEO Legislation, identifying PEO policies, rules and operational issues which touch on or affect PEO Legislation and providing guidance as to which of these should be put into legislation;
- (ii) overseeing draft changes to PEO Legislation;

- (iii) keeping Council apprised of relevant external Legislative initiatives and changes which may affect PEO Legislation;
- (iv) in accordance with the Regulatory Policy Protocol approved by Council, reviewing all referred policy proposals that involve authority from the Act, Regulations or By-Laws, and providing regulatory impact analysis and recommendations to Council pursuant, and;
- (v) reviewing Ontario legislation that conflicts with the authority or provisions of the *Professional Engineers Act* or its Regulations, and making recommendations for corrective actions pursuant.

Regional Congress Open Issues

| <i>Issue</i> | <i>Date Opened</i> | <i>Motion Text</i> | <i>Mover Seconder</i> | <i>Update Description</i> | <i>Meeting</i> | <i>Revision Date</i> | <i>Recommendation</i> | <i>Closed</i> | <i>Action By</i> |
|--------------|------------------------|--------------------|---------------------------|-------------------------------|----------------|--------------------------|-----------------------|---------------|------------------|
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East Central

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|----|----------|--|-----------------------|--|-------|-----------|-------------|--------------------------|--|
| 55 | Feb/2019 | For the purpose of open and transparent chapter elections, ECRC asks RCC to adjust the chapter membership policy, such that a member who belongs to an Alternate Chapter (either manually through PEO or through EPIM), but has been a member of said Alternate Chapter for less than one year, cannot change their chapter affiliation until the one year period expires, with the following exceptions: 1. The member moved his/her principal residence to within the chapter boundaries before the 1 year period expired, or 2. The member started employment inside the chapter boundaries before the 1 year period expired. | A. Lee, G. Merrill | Staff Update: Chapter Office followed up with York Chapter on Aug 17. | Staff | 17-Aug-20 | Remain Open | <input type="checkbox"/> | |
|----|----------|--|-----------------------|--|-------|-----------|-------------|--------------------------|--|

| <i>Issue</i> | <i>Date Opened</i> | <i>Motion Text</i> | <i>Mover Secunder</i> | <i>Update Description</i> | <i>Meeting</i> | <i>Revision Date</i> | <i>Recommendation</i> | <i>Closed</i> | <i>Action By</i> |
|--------------|------------------------|---|--|------------------------------------|----------------|--------------------------|-----------------------|--------------------------|------------------|
| 56 | Jun/2020 | East Central Region requests that RCC allow chapters to mail framed certificates that are printed and currently in volunteers' possession until such time that licence ceremonies resume. | L. Nana Kouadjo, C. Frengopoulos | RCC Update: Recommend to Close. | RCC | 18-Jul-20 | | <input type="checkbox"/> | |

| <i>Issue</i> | <i>Date Opened</i> | <i>Motion Text</i> | <i>Mover Seconder</i> | <i>Update Description</i> | <i>Meeting</i> | <i>Revision Date</i> | <i>Recommendation</i> | <i>Closed</i> | <i>Action By</i> |
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Eastern

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|-----|----------|--|------------------------|--|-----|-----------|--|--------------------------|--|
| 127 | Jun/2019 | ERC requests Council to review EIT's, LL's, PL's and TL's rights to vote in PEO council elections commencing in the calendar year 2020, and in all subsequent PEO council elections. | C Chiddle, U Senaratne | RCC Update: Recommend Close. This issue is part of the Action Plan and will be dealt with through staff. | RCC | 18-Jul-20 | | <input type="checkbox"/> | |
| 133 | Jun/2020 | ERC requests funds which are not spent due to Covid-19 to be accumulated for the next year. This implies that there would be an exemption from the bank-balance rule, so that unspent money does not penalize chapters.. | V. Proag, D. Unrau | RCC Update: Recommend to Close. | RCC | 18-Jul-20 | | <input type="checkbox"/> | |

| <i>Issue</i> | <i>Date Opened</i> | <i>Motion Text</i> | <i>Mover Seconder</i> | <i>Update Description</i> | <i>Meeting</i> | <i>Revision Date</i> | <i>Recommendation</i> | <i>Closed</i> | <i>Action By</i> |
|--------------|------------------------|--|---------------------------|--|----------------|--------------------------|-----------------------|--------------------------|--|
| 134 | Jun/2020 | ERC moves that the monthly account balance currently provided by PEO Finance is not enough for maintaining and appropriate reporting of financial records and therefore requests that PEO prepare a more detailed monthly statements for chapters. | V. Proag, A. Hosier | RCC Update: Open Issue remains open. RCC Chair will contact CEO & Registrar Johnny Zuccon about the issue. | RCC | 18-Jul-20 | Remain Open | <input type="checkbox"/> | RCC Chair, R. Subramanian to contact PEO's Director of Finance, Chetan Mehta, and CEO & Registrar Johnny Zuccon regarding Eastern Open Issue #134 and follow up on proposed financial monthly statement sample provided by the Chapter Office. |

| <i>Issue</i> | <i>Date Opened</i> | <i>Motion Text</i> | <i>Mover Seconder</i> | <i>Update Description</i> | <i>Meeting</i> | <i>Revision Date</i> | <i>Recommendation</i> | <i>Closed</i> | <i>Action By</i> |
|--------------|------------------------|--------------------|---------------------------|-------------------------------|----------------|--------------------------|-----------------------|---------------|------------------|
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Northern

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|----|----------|--|--------------------------|---|-----|-----------|-------|--------------------------|--|
| 46 | Jun/2018 | The Northern Region moves to request RCC acquire clarification from Council on criteria for eligible PEAK courses as an important area of non technical knowledge appears to have been missed, which has a direct impact on worker safety, such as OSHA regulations | S Schelske, M Wesa | RCC Update: Continue to remain open | RCC | 18-Jul-20 | | <input type="checkbox"/> | |
| 50 | Jun/2020 | NRC requests that RCC brings to Council the issue of PEO applicants who have failed either Part A or Part B in the lead-up to PEO's transition to the NPPE, be permitted to re-write the failed portion by October/November 2020 (deadline to be determined by PEO Exams Dept.). | G. Grunerud, S. Schelske | RCC Update: An update is posted on the PEO Website. The chapters office updates the chapter regarding the next PPE dates. RCC recommends closing this issue | RCC | 18-Jul-20 | Close | <input type="checkbox"/> | |

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|--------------|------------------------|--------------------|---------------------------|-------------------------------|----------------|--------------------------|-----------------------|---------------|------------------|
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West Central

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|----|----------|--|--------------------|--|-----|-----------|-------------|--------------------------|--|
| 42 | Jun/2020 | That the RCC clarify to chapters what expectations and accountabilities exist for chapters and chapter treasurers with respect to the new financial framework. | D. Grandy, R. Gill | RCC Update: Recommend to Close. | RCC | 18-Jul-20 | Remain Open | <input type="checkbox"/> | |
| 43 | Jun/2020 | WCRC moves RCC to investigate and update the chapters about centralized Zoom accounts for the chapters. | R. Gill, N. Lee | RCC Update: Chapters to purchase their Zoom account and submit a reimbursement claim to the Chapter Office through the personal reimbursement process. | RCC | 18-Jul-20 | Close | <input type="checkbox"/> | |

| <i>Issue</i> | <i>Date Opened</i> | <i>Motion Text</i> | <i>Mover Seconder</i> | <i>Update Description</i> | <i>Meeting</i> | <i>Revision Date</i> | <i>Recommendation</i> | <i>Closed</i> | <i>Action By</i> |
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Western

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|----|----------|--|-------------------------|---|-------|-----------|-------------|--------------------------|--|
| 75 | Sep/2019 | WRC reinforces the sentiments that the licensing process is a major problem at all levels that needs to have immediate action taken to resolve this issue, and therefore would like a summary of all ongoing issues and proposed actions, in time to review before the next WRC. | W. Juricic, H. El-Sayed | RCC Update: Remain Open. Western Councillor W. Kershaw is working on this issue with CEO & Registrar Johnny Zuccon. | RCC | 18-Jul-20 | Remain Open | <input type="checkbox"/> | |
| 77 | Feb/2020 | Whereby the PEO is a self-regulating profession, and consultation with stakeholders is a critical element of effective change management which fosters engagement, minimizes disruption, and maximizes the chance of successful implementation, WRC moves RCC propose to Council the need to implement a formal change management process including a formal consultation process with key stakeholders, to guide the development and implementation of the Action Plan. | J. Wilson, R. Chmura | Staff Update: Council unanimously accepted the report in its entirety and instructed PEO's CEO/registrar to develop a high level action plan to address these recommendations. This includes confirming the authority of the CEO/registrar to make all operational changes within the legislative framework. Since approval of the plan last fall, staff have began working on the associated key steps, including the Activity Filter, for which the first two phases (Council approval and classification) have been completed while work on the remaining three phases (evaluation, accountability and reporting) is ongoing. PEO's executive will be making recommendations to Council following completion of the five phases. | Staff | 31-Aug-20 | Remain Open | <input type="checkbox"/> | |

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|--------------|------------------------|---|---------------------------|---|----------------|--------------------------|-----------------------|--------------------------|------------------|
| 78 | Jun/2020 | WRC motions the RCC to request that the Chapter Office send treasurer-related communications directly to the Chapter Treasurers, to avoid potential missed communications, for items such as the Monthly Chapter Business Statement, requests for the upcoming Business Plan, approvals on the Business Plan and allotment amounts. | W. Juricic, A. Scott | RCC Update: Recommend to close. The RCC suggests that treasurers may be included in the generic chapter email upon request by the chapter chair. | RCC | 18-Jul-20 | | <input type="checkbox"/> | |
| 79 | Jun/2020 | WRC motions the RCC to request that the Chapter Office include within the Monthly Chapter Business Statement, itemized details for all expenses and revenue entries received by each chapter, to assist Chapter Treasurers in monitoring the accuracy of monthly entries. | W. Juricic, J. Wilson | RCC Update: remains open. RCC Chair will contact CEO & Registrar Johnny Zucco to follow up on chapters' requests regarding a more detailed Monthly Financial Statement. | RCC | 18-Jul-20 | | <input type="checkbox"/> | |

DISCIPLINE STATISTICS – September 2020 Council Meeting Report

Discipline Phase

2018

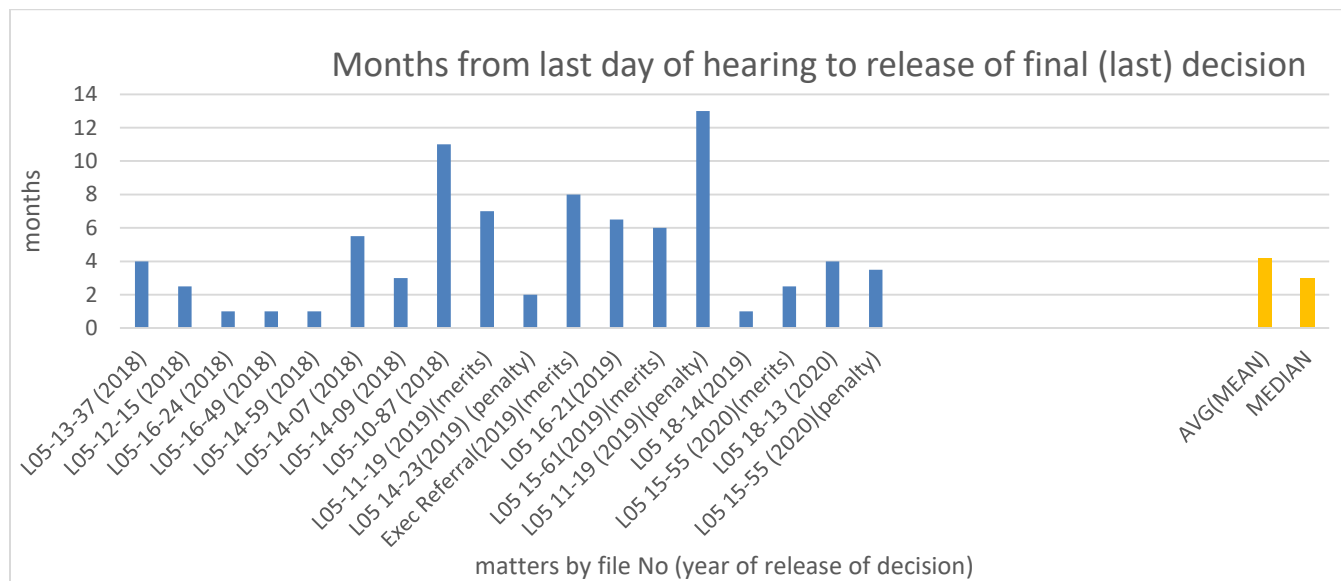
2019

2020

(as of Sep. 8)

| | | | |
|--|----|-----|----|
| Matters Referred to Discipline | 5 | 7 | 6 |
| Matters Pending (Caseload) | 8 | 10* | 14 |
| Written Decisions Issued | 11 | 7 | 3 |
| | | | |
| <i>DIC Activity</i> | | | |
| Pre-Hearing Conferences Held | 7 | 5 | 9 |
| Hearings Phase commenced (but not completed) | 0 | 0 | 1 |
| Hearings Phase completed (but no D&R issued) | 3 | 1 | 0 |

*Two referrals were combined into 1 and were counted as 1.



PROFESSIONAL ENGINEERS ONTARIO
P. ENG. STATISTICS
2020

C-536
Reports

| | JAN | FEB | MAR | APR | MAY | JUN | JUL | AUG | SEP | OCT | NOV | DEC | TOTAL |
|---|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|----------|----------|----------|----------|---------------|
| Members on Register | | | | | | | | | | | | | |
| Beginning | 85,627 | 85,438 | 85,648 | 85,798 | 85,951 | 85,976 | 86,177 | 86,425 | | | | | 85,627 |
| New Members | 370 | 296 | 210 | 166 | 37 | 213 | 322 | 342 | | | | | 1,956 |
| Reinstatements | 16 | 89 | 24 | 6 | 9 | 9 | 13 | 21 | | | | | 187 |
| Resignation - Regular | -66 | -49 | -36 | -4 | 0 | -5 | -39 | -25 | | | | | -224 |
| - Retirees | -60 | -33 | -25 | -5 | 0 | -2 | -34 | -1 | | | | | -160 |
| Deceased | -36 | -40 | -21 | -7 | -21 | -13 | -14 | -13 | | | | | -165 |
| Deletions - Regular | -236 | -52 | -2 | -3 | 0 | -1 | | -70 | | | | | -364 |
| - Retirees | -177 | -1 | 0 | 0 | 0 | | 0 | -4 | | | | | -182 |
| Total Ending | 85,438 | 85,648 | 85,798 | 85,951 | 85,976 | 86,177 | 86,425 | 86,675 | 0 | 0 | 0 | 0 | 86,675 |
| Members on Register Summary | | | | | | | | | | | | | |
| Full Fee Members | 70,884 | 71,051 | 71,137 | 71,287 | 71,249 | 71,415 | 71,707 | 71,752 | | | | | 71,249 |
| Partial Fee Remission - Retired | 12,821 | 12,866 | 12,909 | 12,935 | 12,977 | 13,082 | 13,037 | 13,091 | | | | | 12,977 |
| Partial Fee Remission - Health | 392 | 393 | 397 | 398 | 400 | 405 | 405 | 410 | | | | | 400 |
| Maternity and/or Parental Leave, Unemployment, Postgraduate remission & Others | 1,341 | 1,338 | 1,355 | 1,331 | 1,350 | 1,275 | 1,276 | 1,422 | | | | | 1,350 |
| Total Membership | 85,438 | 85,648 | 85,798 | 85,951 | 85,976 | 86,177 | 86,425 | 86,675 | 0 | 0 | 0 | 0 | 85,976 |
| Membership Licence | | | | | | | | | | | | | |
| Initial Applications | 552 | 497 | 208 | 2 | 0 | 316 | 995 | 836 | | | | | 3,406 |
| Applications Approved for FCP | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | | | 0 |
| Female Members on | | | | | | | | | | | | | |
| Register - Beginning | 10,026 | 10,066 | 10,125 | 10,169 | 10,201 | 10,208 | 10,257 | 10,312 | | | | | 10,026 |
| New Female Engineers | 40 | 59 | 44 | 32 | 7 | 49 | 55 | 53 | | | | | 339 |
| Total Female Engineers | 10,066 | 10,125 | 10,169 | 10,201 | 10,208 | 10,257 | 10,312 | 10,365 | 0 | 0 | 0 | 0 | 10,365 |

**PROFESSIONAL ENGINEERS ONTARIO
ENGINEER IN TRAINING - STATISTICS
2020**

| | JAN | FEB | MAR | APR | MAY | JUN | JUL | AUG | SEP | OCT | NOV | DEC | TOTAL |
|--------------------------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|----------|----------|----------|----------|---------------|
| Recorded | | | | | | | | | | | | | |
| Beginning of Month | 14,686 | 14,635 | 14,553 | 14,737 | 14,645 | 14,684 | 14,590 | 14,476 | | | | | 14,686 |
| New Recordings | 322 | 192 | 223 | 36 | 31 | 24 | 134 | 186 | | | | | 1,148 |
| New Recordings-FCP | 1 | 1 | 0 | 2 | 0 | 1 | 0 | | | | | | 5 |
| Reinstatements | 40 | 44 | 18 | 1 | 8 | 5 | 6 | 8 | | | | | 130 |
| P. Eng. Approvals | -173 | -152 | 0 | -128 | 0 | -116 | -237 | -148 | | | | | -954 |
| Resignations/Deletions | -130 | -39 | -57 | -3 | 0 | -8 | -17 | -6 | | | | | -260 |
| Lapse/Non Payment | -111 | -128 | 0 | 0 | 0 | 0 | 0 | -550 | | | | | -789 |
| Deceased | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | | | | 0 |
| Total Ending | 14,635 | 14,553 | 14,737 | 14,645 | 14,684 | 14,590 | 14,476 | 13,966 | 0 | 0 | 0 | 0 | 13,966 |
| Female Recording on | | | | | | | | | | | | | |
| Register | | | | | | | | | | | | | |
| Beginning | 3,186 | 3,174 | 3,162 | 3,197 | 3,181 | 3,192 | 3,168 | 3,168 | | | | | 3,186 |
| New Female Recordings | -12 | -12 | 35 | -16 | 11 | -24 | 0 | -141 | | | | | -159 |
| Total Female Recordings | 3,174 | 3,162 | 3,197 | 3,181 | 3,192 | 3,168 | 3,168 | 3,027 | 0 | 0 | 0 | 0 | 3,027 |

PROFESSIONAL ENGINEERS ONTARIO
CERTIFICATE OF AUTHORIZATION - STATISTICS
2020

| | JAN | FEB | MAR | APR | MAY | JUN | JUL | AUG | SEP | OCT | NOV | DEC | TOTAL |
|-----------------------------------|-------|-------|-------|-------|-------|-------|-------|-------|-----|-----|-----|-----|-------|
| C of A Holders - Beginning | | | | | | | | | | | | | |
| Regular | 5,861 | 5,866 | 5,911 | 5,913 | 5,908 | 5,926 | 5,945 | 5,910 | | | | | 5,861 |
| Temporary | 29 | 29 | 28 | 28 | 28 | 28 | 28 | 28 | | | | | 29 |
| Sub Total | 5,890 | 5,895 | 5,939 | 5,941 | 5,936 | 5,954 | 5,973 | 5,938 | 0 | 0 | 0 | 0 | 5,890 |
| New Certificates Issued | | | | | | | | | | | | | |
| Regular | 52 | 53 | 10 | 0 | 24 | 59 | 58 | 48 | | | | | 304 |
| Temporary | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 2 | | | | | 3 |
| Sub Total | 52 | 54 | 10 | 0 | 24 | 59 | 58 | 50 | 0 | 0 | 0 | 0 | 307 |
| Reinstatements | | | | | | | | | | | | | |
| Regular | 2 | 0 | 1 | 0 | 1 | 0 | 0 | 3 | | | | | 7 |
| Temporary | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | | | | 0 |
| Sub Total | 2 | 0 | 1 | 0 | 1 | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 7 |
| Deletions | | | | | | | | | | | | | |
| Closed | -47 | -8 | -8 | -5 | -7 | -40 | -93 | -108 | | | | | -316 |
| Suspended, Revoked and other | -2 | 0 | -1 | 0 | 0 | 0 | 0 | | | | | | -3 |
| Temporary | 0 | -2 | 0 | 0 | 0 | 0 | 0 | -5 | | | | | -7 |
| Sub Total | -49 | -10 | -9 | -5 | -7 | -40 | -93 | -113 | 0 | 0 | 0 | 0 | -326 |
| Total Ending | | | | | | | | | | | | | |
| Regular | 5,866 | 5,911 | 5,913 | 5,908 | 5,926 | 5,945 | 5,910 | 5,853 | 0 | 0 | 0 | 0 | 5,926 |
| Temporary | 29 | 28 | 28 | 28 | 28 | 28 | 28 | 25 | 0 | 0 | 0 | 0 | 28 |
| | 5,895 | 5,939 | 5,941 | 5,936 | 5,954 | 5,973 | 5,938 | 5,878 | 0 | 0 | 0 | 0 | 5,954 |

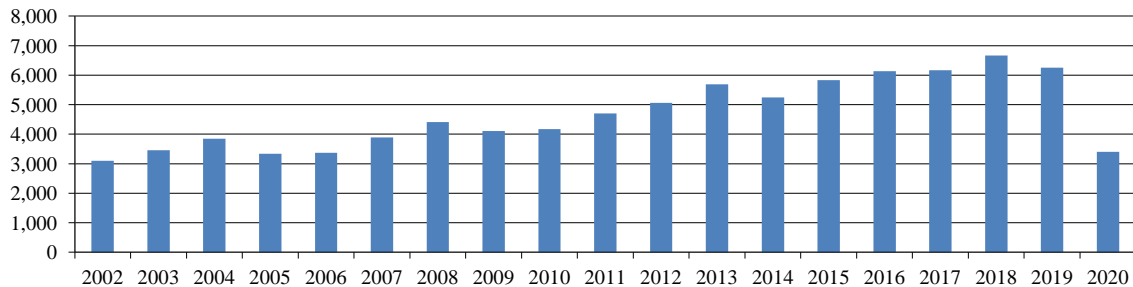
**PROFESSIONAL ENGINEERS ONTARIO
CONSULTANTS - STATISTICS
2020**

[illegible]

**PROFESSIONAL ENGINEERS ONTARIO
APPLICATIONS RECEIVED
2002 - 2020**

| | 2002 | 2003 | 2004 | 2005 | 2006 | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 |
|------------------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|
| JANUARY | 341 | 539 | 440 | 364 | 316 | 308 | 372 | 336 | 393 | 414 | 397 | 440 | 530 | 561 | 453 | 565 | 599 | 611 | 552 |
| FEBRUARY | 222 | 260 | 345 | 259 | 319 | 257 | 234 | 338 | 276 | 278 | 384 | 422 | 380 | 422 | 460 | 403 | 422 | 432 | 497 |
| MARCH | 234 | 169 | 298 | 340 | 316 | 272 | 345 | 379 | 373 | 453 | 398 | 428 | 395 | 368 | 265 | 435 | 436 | 504 | 208 |
| APRIL | 277 | 279 | 304 | 269 | 291 | 280 | 381 | 294 | 239 | 338 | 297 | 414 | 361 | 356 | 484 | 383 | 472 | 556 | 2 |
| MAY | 299 | 394 | 425 | 270 | 298 | 293 | 278 | 279 | 303 | 314 | 353 | 394 | 324 | 292 | 450 | 415 | 451 | 645 | 0 |
| JUNE | 220 | 221 | 337 | 264 | 273 | 279 | 332 | 320 | 306 | 322 | 374 | 388 | 356 | 472 | 421 | 485 | 482 | 399 | 316 |
| JULY | 265 | 200 | 297 | 286 | 254 | 355 | 460 | 395 | 332 | 398 | 482 | 529 | 486 | 555 | 554 | 513 | 603 | 624 | 995 |
| AUGUST | 269 | 357 | 272 | 301 | 285 | 367 | 413 | 326 | 358 | 493 | 508 | 505 | 495 | 547 | 638 | 601 | 661 | 495 | 836 |
| SEPTEMBER | 352 | 455 | 382 | 254 | 251 | 333 | 415 | 402 | 383 | 451 | 388 | 512 | 542 | 466 | 567 | 586 | 557 | 452 | 0 |
| OCTOBER | 206 | 257 | 253 | 263 | 282 | 396 | 419 | 428 | 372 | 469 | 540 | 646 | 568 | 648 | 566 | 664 | 672 | 603 | 0 |
| NOVEMBER | 238 | 190 | 236 | 304 | 226 | 505 | 430 | 340 | 497 | 481 | 503 | 525 | 416 | 565 | 754 | 651 | 802 | 499 | |
| DECEMBER | 178 | 140 | 261 | 168 | 260 | 248 | 334 | 270 | 336 | 295 | 432 | 491 | 392 | 576 | 525 | 460 | 510 | 436 | |
| TOTAL | 3,101 | 3,461 | 3,850 | 3,342 | 3,371 | 3,893 | 4,413 | 4,107 | 4,168 | 4,706 | 5,056 | 5,694 | 5,245 | 5,828 | 6,137 | 6,161 | 6,667 | 6,256 | 3,406 |
| MONTHLY AVERAGE | 258 | 288 | 321 | 279 | 281 | 324 | 368 | 342 | 347 | 392 | 421 | 475 | 437 | 486 | 511 | 513 | 556 | 521 | 341 |
| YEAR TO DATE | 3,101 | 3,461 | 3,850 | 3,342 | 3,371 | 3,893 | 4,413 | 4,107 | 4,168 | 4,706 | 5,056 | 5,694 | 5,245 | 5,828 | 6,137 | 6,161 | 6,667 | 6,256 | 3,406 |

Applications Received - Year To Date



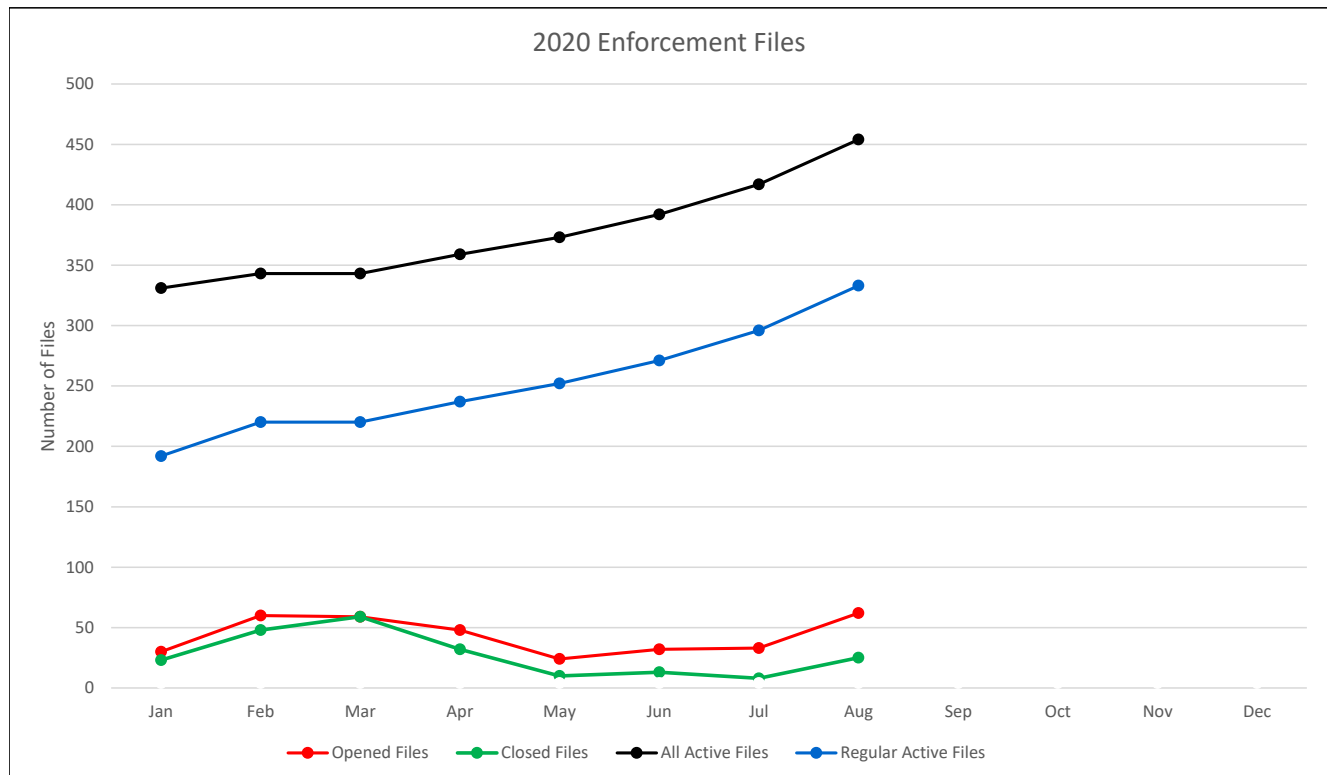
Monthly Enforcement Statistics for 2020

C-536
Reports

| | | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep | Oct | Nov | Dec | FY 2020 |
|----------------------------|--------------------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|---------|
| Enforcement Standard Files | Open Backlog | 184 | | | | | | | | | | | | 184 |
| | Files Opened | 30 | 60 | 59 | 48 | 24 | 32 | 33 | 62 | | | | | 348 |
| | Files Closed | 22 | 32 | 59 | 31 | 9 | 13 | 8 | 25 | | | | | 199 |
| | Net Active Files | 192 | 220 | 220 | 237 | 252 | 271 | 296 | 333 | | | | | 333 |
| | Active in Past 12 Months | 455 | 507 | 541 | 564 | 559 | 572 | 575 | 629 | | | | | |
| | Median Active Days | 166 | 137 | 108 | 93 | 118 | 125 | 125 | 148 | | | | | |
| | Closed in Past 12 Months | 263 | 287 | 321 | 327 | 307 | 301 | 279 | 296 | | | | | |
| | Median Days to Close | 80 | 81 | 93 | 80 | 109 | 80 | 67 | 70 | | | | | |

| | | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep | Oct | Nov | Dec | FY 2020 |
|--|--------------------------|------|------|------|------|------|------|------|------|-----|-----|-----|-----|---------|
| Enforcement Business Name Challenges with MGCS | Open Backlog | 140 | | | | | | | | | | | | 140 |
| | Files Opened | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | | | 0 |
| | Files Closed | 1 | 16 | 0 | 1 | 1 | 0 | 0 | 0 | | | | | 19 |
| | Net Active Files | 139 | 123 | 123 | 122 | 121 | 121 | 121 | 121 | | | | | 121 |
| | Active in Past 12 Months | 165 | 164 | 155 | 148 | 148 | 145 | 141 | 140 | | | | | |
| | Median Active Days | 1460 | 1477 | 1484 | 1472 | 1487 | 1483 | 1516 | 1527 | | | | | |
| | Closed in Past 12 Months | 26 | 41 | 32 | 26 | 27 | 24 | 20 | 19 | | | | | |
| | Median Days to Close | 1555 | 1520 | 1520 | 1499 | 1514 | 1497 | 1518 | 1516 | | | | | |

| | | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep | Oct | Nov | Dec | FY 2020 |
|-----------------------------|--------------------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|---------|
| Enforcement Total Case Load | Open Backlog | 324 | | | | | | | | | | | | 324 |
| | Files Opened | 30 | 60 | 59 | 48 | 24 | 32 | 33 | 62 | | | | | 348 |
| | Files Closed | 23 | 48 | 59 | 32 | 10 | 13 | 8 | 25 | | | | | 218 |
| | Net Active Files | 331 | 343 | 343 | 359 | 373 | 392 | 417 | 454 | | | | | 454 |
| | Active in Past 12 Months | 620 | 671 | 696 | 712 | 707 | 717 | 716 | 769 | | | | | |
| | Median Active Days | 319 | 272 | 217 | 191 | 205 | 189 | 177 | 173 | | | | | |
| | Closed in Past 12 Months | 289 | 328 | 353 | 353 | 334 | 325 | 299 | 315 | | | | | |
| | Median Days to Close | 121 | 144 | 129 | 117 | 133 | 117 | 76 | 81 | | | | | |



REGISTRATION STATISTICS – September 2020 Council Meeting Report

Registration Phase

| | 2018 | 2019 | 2020 (as of Sep.8) |
|---|------|------|-----------------------|
| Requests for Hearing | 0 | 8 | 0 |
| Premature Applications (No Notice of Proposal) | 0 | 0 | 0 |
| Matters Pending (Caseload) | 1* | 6** | 5** |
| Written Final Decisions Issued | 2 | 1 | 0 |
| Appeals to the Divisional Court | 0 | 0 | 0 |
| | | | |
| <i>REC Activity</i> | | | |
| Pre-Hearing Conferences Held | 1 | 5 | 0 |
| Hearings Phase completed, but no D&R issued | 0 | 0 | 2 |

* The Registrar granted a license in 2018 to two of the applicants; no hearing required.

** Two matters were withdrawn by the applicants. (Note: in late February 2020 one more applicant withdrew, making it 3 withdrawals in 2019-2020)

Note: There are no changes since the last two reports (March and June 2020)