

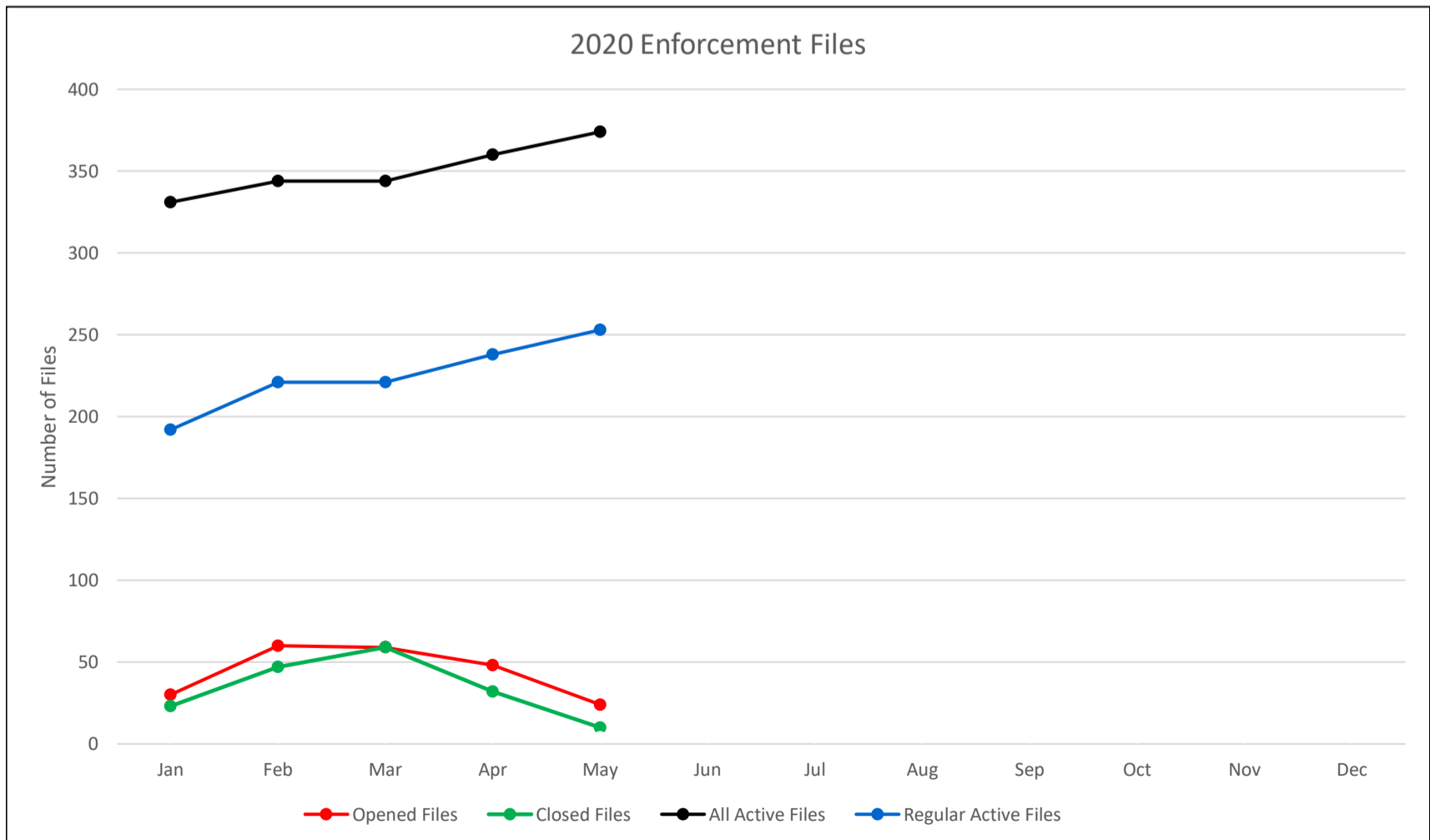
# Monthly Enforcement Statistics for 2020

C-535

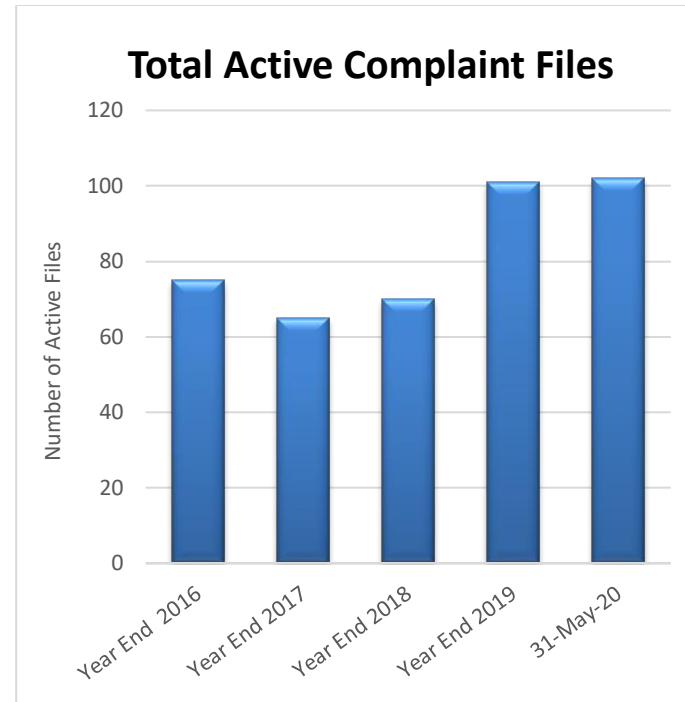
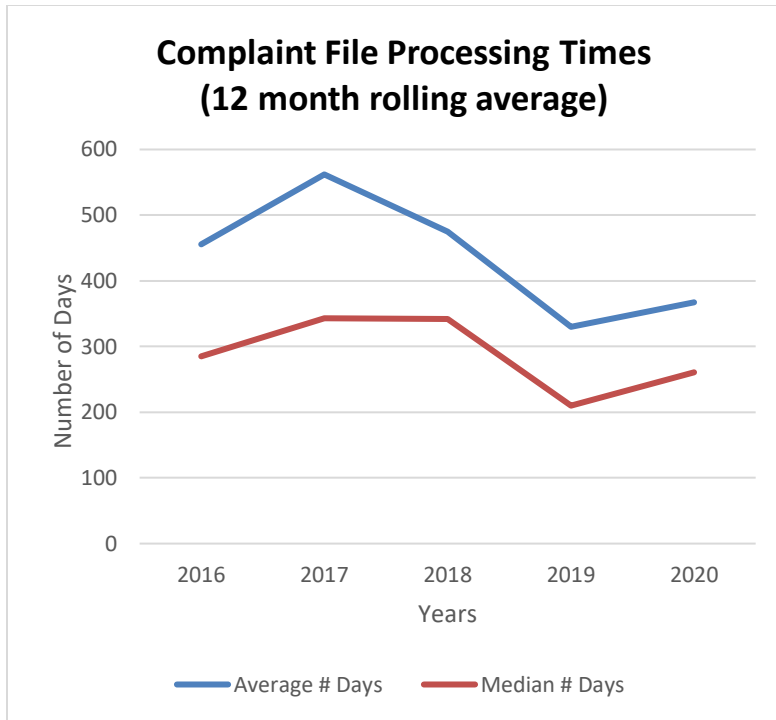
		Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	FY 2020
Enforcement Standard Files	Open Backlog	184												184
	Files Opened	30	60	59	48	24								221
	Files Closed	22	31	59	31	9								152
	Net Active Files	192	221	221	238	253								253
	Active in Past 12 Months	455	507	541	564	559								
	Median Active Days	162	132	102	88	116								
	Closed in Past 12 Months	263	286	320	326	306								
	Median Days to Close	80	81	89	78	109								

		Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	FY 2020
Enforcement Business Name Challenges with MGCS	Open Backlog	140												140
	Files Opened	0	0	0	0	0								0
	Files Closed	1	16	0	1	1								19
	Net Active Files	139	123	123	122	121								121
	Active in Past 12 Months	165	164	155	148	148								
	Median Active Days	1460	1477	1484	1472	1487								
	Closed in Past 12 Months	26	41	32	26	27								
	Median Days to Close	1555	1520	1520	1499	1514								

		Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	FY 2020
Enforcement Total Case Load	Open Backlog	324												324
	Files Opened	30	60	59	48	24								221
	Files Closed	23	47	59	32	10								171
	Net Active Files	331	344	344	360	374								374
	Active in Past 12 Months	620	671	696	712	707								
	Median Active Days	319	268	211	191	205								
	Closed in Past 12 Months	289	327	352	352	333								
	Median Days to Close	121	143	129	117	132								



Complaints and Investigation Statistics  
(As at May 31, 2020)



## COMPLAINTS & INVESTIGATION STATISTICS

	2018	2019	2020 (May 31)
<b>COC's Caseload</b>			
Filed Complaints <sup>1</sup> not disposed of by COC at previous year-end	65	70	101
Complaints Filed ( <i>PEAct s. 24. 1(a)</i> ) during the Year	58	121	28
Total Caseload in the Year	123	191	129
Total Filed Complaints Disposed of by COC in the Year (for details see <i>COC's Disposition of Complaints</i> below)	53	90	27
<b>Total Filed Complaints Pending for COC Disposition</b> (for details see <i>Status of Active Filed Complaints</i> below)	<b>70</b>	<b>101</b>	<b>102</b>
<b>COC's Disposition of Complaints</b>			
Direct that the matter be referred, in whole or in part, to the Discipline Committee. ( <i>PEAct s. 24. 2(a)</i> )	5	7	3
Direct that the matter not be referred. ( <i>PEAct s. 24. 2(b)</i> )	28	66	17
Take such action as COC considers appropriate in the circumstances and that is not inconsistent with this Act or the regulations or by-laws. ( <i>PEAct s. 24. 2(c)</i> )	20	17	7
<b>COC's Timeliness Regarding the Disposition of the Complaint<sup>2</sup></b>			
Complaint disposed of within 90 days of filing	0	1	0
Complaint disposed of between 91-180 days of filing	3	7	0
Complaint disposed of after more than 180 days of filing	50	82	27
<b>COC Processing Time – Days from Complaint Filed to COC Disposition (12 mo rolling avg.)</b>			
Average # Days	475	330	367
Minimum # Days	168	41	41
Median # Days	342	210	261
Maximum # Days	2183	1023	1757

<sup>1</sup> Signed Complaint Form filed with the Registrar.

<sup>2</sup> Days from Complaint Filed to date COC Decision is signed by COC Chair.

## Status of Active Filed Complaints

<b>Active Filed Complaints - Total</b>		<b>102</b>
<b><i>Complaints filed more than 180 days ago</i></b>	<b>71</b>	<b>71</b>
Pending Approval and Reason regarding COC Decision	15	
Complaints under active consideration by COC	10	
Completed Investigation ready for COC consideration	0	
Regulatory Compliance Investigation	46	
<b><i>Complaints filed between 91-180 days ago</i></b>	<b>17</b>	<b>17</b>
Pending Approval and Reason regarding COC Decision	3	
Complaints under active consideration by COC	2	
Completed Investigation ready for COC consideration	0	
Regulatory Compliance Investigation	12	
<b><i>Complaints filed within the past 90 days</i></b>	<b>14</b>	<b>14</b>
Pending Approval and Reason regarding COC Decision	0	
Complaints under active consideration by COC	0	
Completed Investigation ready for COC consideration	0	
Regulatory Compliance Investigation	14	

Note:

### **Review by Complaints Review Councillor (PEAct s. 26. (s))**

Where a complaint respecting a member of the Association or a holder of a certificate of authorization, a temporary licence, a provisional licence or a limited licence has not been disposed of by the Complaints Committee **within ninety days** after the complaint is filed with the Registrar, upon application by the complainant or on his or her own initiative the Complaints Review Councillor may review the treatment of the complaint by the Complaints Committee.

### **Glossary of Terms:**

Complaint Filed – Signed Complaint Form filed with the Registrar.

Investigation Complete – Investigation Summary document prepared and complaint file ready for COC consideration

## Legislation Committee Update

**Purpose:** To update Council on the Legislation Committee's activities in March, April and May 2020

**No motion required**

**Prepared by:** J. Max, Manager, Policy

### 1. Status Update

- The Legislation Committee last met on February 14, 2020. The scheduled meeting on March 6, 2020 and April 3, 2020 were cancelled due to a lack of new items.

### 2. Background

- Section 30(1) of By-Law No. 1 grants Council the power to appoint the Legislation Committee. The Legislation Committee is not a policy-generating committee.
- By Resolution dated May 8<sup>th</sup>, 2009, Council appointed the Legislation Committee as a Board Committee, comprised entirely of sitting Councillors.
- The Legislation Committee's mandate in its Terms of Reference (last amended December 2017) is:

“...to provide oversight and guidance to matters pertaining to PEO's Act, Regulation and By-Laws. This will include but not be limited to:

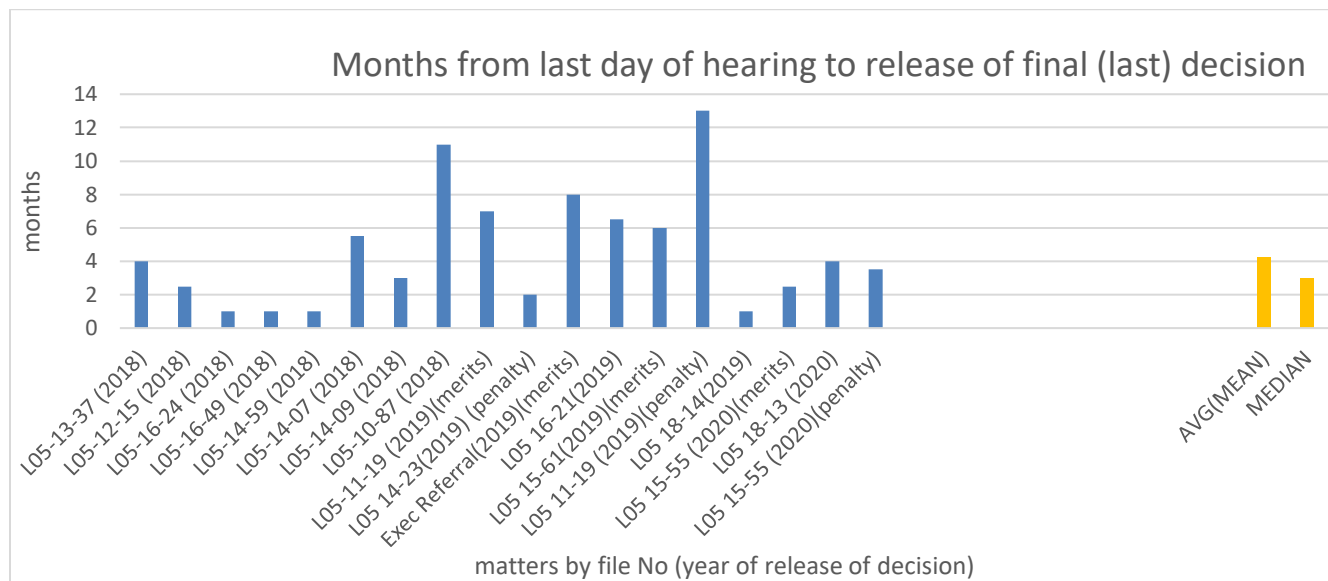
- (i) acting as custodian for PEO Legislation, identifying PEO policies, rules and operational issues which touch on or affect PEO Legislation and providing guidance as to which of these should be put into legislation;
- (ii) overseeing draft changes to PEO Legislation;
- (iii) keeping Council apprised of relevant external Legislative initiatives and changes which may affect PEO Legislation;
- (iv) in accordance with the Regulatory Policy Protocol approved by Council, reviewing all referred policy proposals that involve authority from the Act, Regulations or By-Laws, and providing regulatory impact analysis and recommendations to Council pursuant, and;
- (v) reviewing Ontario legislation that conflicts with the authority or provisions of the *Professional Engineers Act* or its Regulations, and making recommendations for corrective actions pursuant.

## DISCIPLINE STATISTICS – June 2020 Council Meeting Report

### Discipline Phase

	2018	2019	2020
			(as of June 10)
Matters Referred to Discipline	5	7	3
Matters Pending (Caseload)	8	10*	11
Written Decisions Issued	11	7	3
<b>DIC Activity</b>			
Pre-Hearing Conferences Held	7	5	4
Hearings Phase commenced (but not completed)	0	0	0
Hearings Phase completed (but no D&R issued)	3	1	1

\*Two referrals were combined into 1 and were counted as 1.



## REGISTRATION STATISTICS – June 2020 Council Meeting Report

### Registration Phase

	2018	2019	2020 (as of June 10)
Requests for Hearing	0	8	0
Premature Applications (No Notice of Proposal)	0	0	0
Matters Pending (Caseload)	1*	6**	5**
Written Final Decisions Issued	2	1	0
Appeals to the Divisional Court	0	0	0
<b><i>REC Activity</i></b>			
Pre-Hearing Conferences Held	1	5	0
Hearings Phase completed, but no D&R issued	0	0	2

\* The Registrar granted a license in 2018 to two of the applicants; no hearing required.

\*\* Two matters were withdrawn by the applicants. (Note: in late February 2020 one more applicant withdrew, making it 3 withdrawals in 2019-2020)

**Note:** There are no changes since the last report (March 2020)