

# Regulatory Compliance at a glance

BY MICHAEL MASTROMATTEO

**F**ast Facts is part of PEO's commitment to improving transparency and accountability to all members. PEO is keen not only on making operations as effective and efficient as possible, but also on showing members how resources are used in satisfying the association's regulatory mandate. We hope this new department will help fulfil the latter goal.

This issue of Fast Facts features a brief report of activity in the Regulatory Compliance department of PEO.

Regulatory Compliance investigates complaints related to licence and Certificate of Authorization holders and prosecutes matters that are referred to the Discipline Committee.

The department also investigates and explores allegations of unlicensed practice, and unlicensed people representing themselves as professional engineers.

In addition, Regulatory Compliance plays a part in the licensing process through the Registration Committee, which conducts hearings requested by applicants who have been notified that the Registrar intends to refuse to issue a licence or Certificate of Authorization.

### Fees at work

In 2003, the Regulatory Compliance department represented 12 per cent of PEO's expenditures, as expressed as a percentage of members' fees (Figure 1).

Within the Regulatory Compliance department itself, complaints investigations and discipline hearings together constitute the largest of the department's regular costs. Together, these two activities make up over two-thirds of the department's expenditure.

Figure 2 shows how Regulatory Compliance allocated its resources in 2003.

See pages 14-16 for information on PEO service improvements to members in other areas.

In this issue of *Engineering Dimensions*, we begin the new Fast Facts on PEO Operations, which is designed to provide readers with insights on how the association uses its resources in support of various regulatory and governance activities.

Figure 1. Overall PEO operations

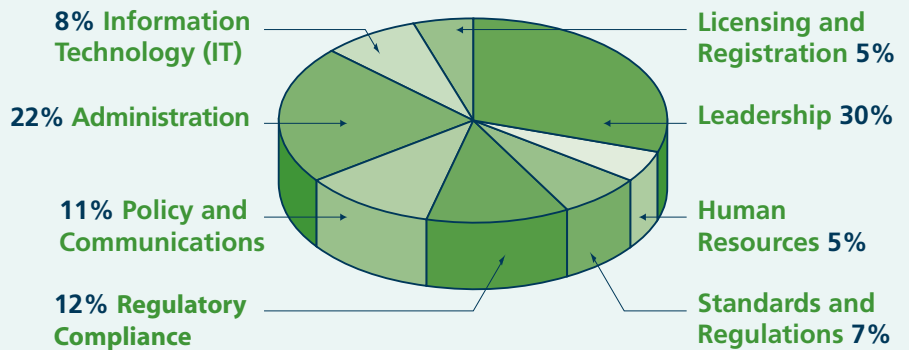


Figure 2. Regulatory Compliance expenditure (2003)

