



Memorandum

C-528

To: PEO Council

From: Stephen Georgas, P. Eng., LLB., Chair, Enforcement Committee

Date: June 7, 2019

Subject: Enforcement Committee Report to Council

On behalf of the Enforcement Committee, I'm pleased to present the following update on current and ongoing activities. It's felt that regular communication with Council will provide improved awareness of the committee's specific activities to support this core regulatory activity.

Enforcement Policy

Enforcement staff prepared an update to PEO's Enforcement Policy in 2017, which included incorporation of the 2010 amendment to the *Professional Engineers Act*, the addition of offences described in section 41 of the Act, and changes to reflect current staff activities. At its July 2017 meeting, the committee reviewed the draft updates and recommended that the revised document be referred to Council for approval.

The policy update was deferred due to more urgent matters being addressed by Council and was intended to be included as an operational improvement for 2018. The current Enforcement Policy was approved by Council in February 2005 and was intended to be reviewed after December 31, 2009.

Protocol for Work Plan Items

The committee has historically received limited direction from Council regarding the development of policy or requests for specific advice regarding enforcement matters. Consequently, work plan items have been based on suggestions from other committees, PEO staff and the committee itself.

A special subcommittee was formed in 2018 to review the effectiveness of the Enforcement Committee at fulfilling its mandate. The subcommittee determined that its work plan activities needed to be relevant to a declared operational or advisory need for PEO, that the activity must fall within the committee's mandate as approved by Council, and that the proposed activity can be reasonably completed by committee members with appropriate staff support.

These principles were incorporated into a protocol for launching new work plan items, which was adopted by the committee at its September 2018 meeting.

Strategic Plan Assignment

The committee responded to Council's assignment to develop one or more measurable strategies to contribute to PEO's 2018-2020 Strategic Plan, and specifically to address Strategic Objective 2 – Heighten the delivery and awareness of PEO's enforcement efforts. The committee developed and submitted a total of seven proposals for selection and approval:

- Strategy 1 – Partnering with Key Stakeholders
- Strategy 2 – Easily Understood Definition of Professional Engineering
- Strategy 3 – (Registration of) Official Marks
- Strategy 4 – Broader Outreach to Key Stakeholders
- Strategy 5 – New Ways to Collect and Analyze Data to Identify Enforcement Leads
- Strategy 6 – Protocol for Communication among Committees and Staff
- Strategy 7 – Improve Member Reporting

Strategy 2 was ultimately selected to be submitted for approval by Council. Although Council has deferred approval to implement this activity, it has been adapted into a new work plan item to develop real-world examples of engineering practice.

Subcommittee Activities

For each substantive work plan activity, the committee has launched subcommittees to complete the associated tasks, and to report on progress at meetings of the full committee. The current subcommittees are as follows:

Subcommittee 2017-A: Guidance for Outreach

Members: J. Adams (Chair), E. Poon, D. Marston, P. Broad, T. Ing, J. Obaid
Staff Support: Ashley Gismondi
Task/Outcome: Create a sustainable program of key topics and a preliminary schedule for delivery to key stakeholders.

- The subcommittee developed presentations for first/second year students and another for upper level/grad students, which are used as part of Enforcement Outreach to universities
- Presented the Enforcement Guideline during a breakout session at the Chapter Leaders Conference and gathered feedback with respect to further outreach
- Planning to work with Communications to develop a social media presence for Enforcement
- Working to have Enforcement as a theme in an upcoming PEAK module
- Developed FAQ document on Enforcement related questions for newly licensed engineers and existing members, which will be passed along to Communications staff for review
- Developed standardized Chapter presentation about Enforcement and reporting, which will be presented to Chapter staff at PEO for discussion

Subcommittee 2017-B: Enhanced Enforcement for Industry

Members: P. Broad (Chair), J. Adams, D. Marston, R. Barker, A. Varma, S. Georgas
Staff Support: Cliff Knox
Task/Outcome: Identify areas of concern and propose activities to mitigate those concerns.

- The members sought to contact the publishers of trade magazines to determine the number of subscribers who identify as "engineers" at industrial employers.
- Annex Business Media is the dominant Canadian publisher and agreed to provide demographic data on its subscribers.
- Annex requested to be able to inform PEO members of its publications and this was referred to PEO's Communications department for response.
- The subcommittee is awaiting the data to determine next steps.

Subcommittee 2017-C: PSHR Performance Standard Proposal

Members: P. Broad (Chair), J. Adams, A. Varma

Staff Support: Cliff Knox

Task/Outcome: Develop a proposal for a new Performance Standard to be submitted for consideration by Council and PSC.

- A memo was sent to the Professional Standards Committee (PSC) as a follow-up to the recommendation made in the Repeal of the Industrial Exception Research Report.
- Additional information to support the case for a Performance Standard was forwarded to PSC.
- The subcommittee is expecting a written response to indicate whether PSC intends to develop a new performance standard for Pre-Start Health and Safety Reviews.
- The matter has been referred to staff for follow-up and the subcommittee was stood down.

Subcommittee 2018-A: Examples of Engineering Practice

Members: J. Adams (Chair), P. Broad, G. Ip, D. Marston

Staff Support: Cliff Knox

Task/Outcome: Develop 3-5 representative examples of engineering practice that illustrate traditional and emergent activities.

- The subcommittee is working on a practice example for Structural Engineering to show specific activities that are the practice of professional engineering.
- The Structural Example will be used as a template to develop similar examples for Software Engineering and Environmental Engineering.

Subcommittee 2018-B: Regulatory Gaps to Enforcement

Members: S. Georgas (Chair), T. Ing, J. Obaid

Staff Support: Steven Haddock

Task/Outcome: Prepare a report to Council to document relevant findings and recommendations for potential amendments.

- The intent of this activity is to increase the robustness of the enforcement process by reducing regulatory grey areas and to increase the number of successful prosecutions.
- The subcommittee has completed a preliminary draft of legislative gaps and shortcomings in the *Professional Engineers Act*, from an enforcement perspective, with suggested amendments to plug the gaps and overcome the shortcomings.

Subcommittee 2018-C: Position on Split Registration

Members: P. Broad (Chair), S. Georgas, T. Ing, G. Ip

Staff Support: Cliff Knox

Task/Outcome: Prepare a position paper to document the impact of split registrations for title and practice to enforcement activities.

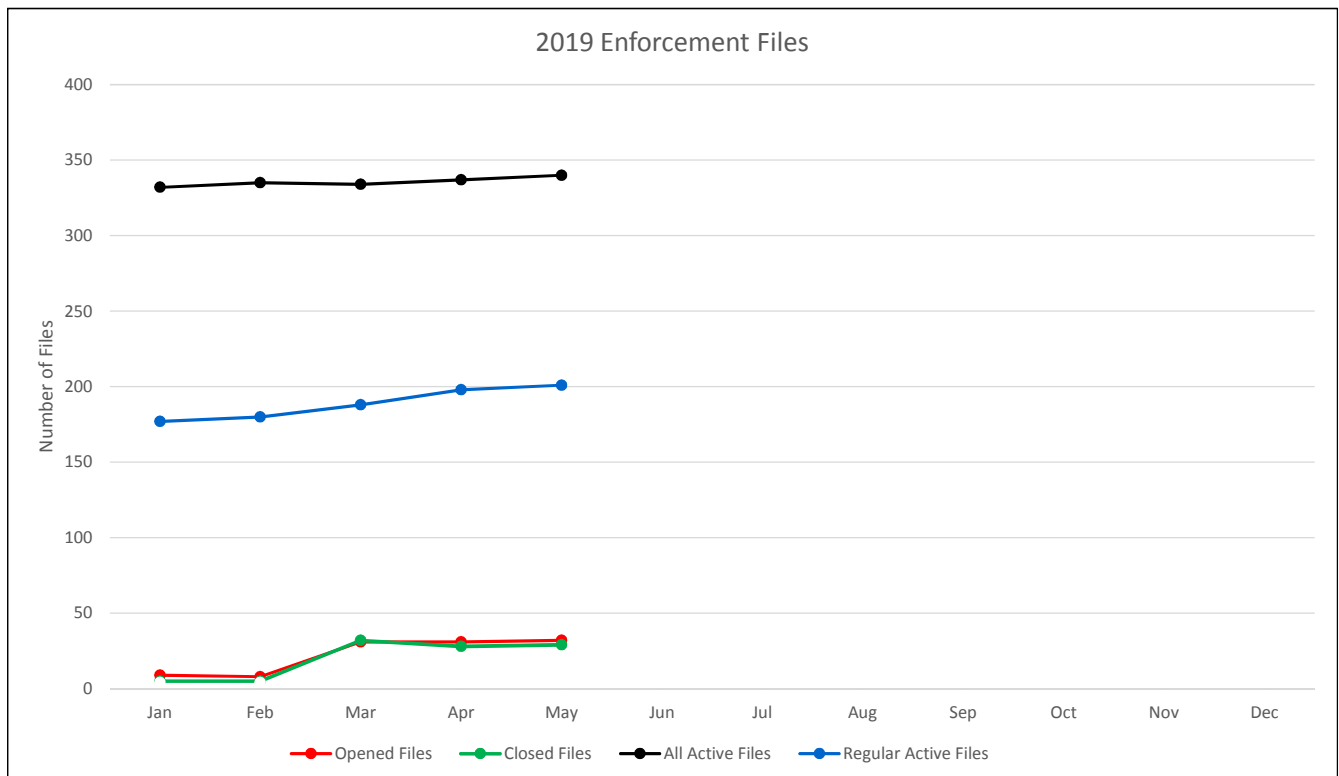
- The intent of this activity is to provide advice to Council on how a split registration model would affect PEO's ability to enforce practice and title violations under the *Professional Engineers Act*.
- The subcommittee has reviewed how other Canadian engineering regulators address the issue of holding non-practising engineers accountable for practice violations.

Monthly Enforcement Statistics for 2019

		Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	FY 2019
Enforcement Standard Files	Open Backlog	173												173
	Files Opened	9	8	31	31	32								111
	Files Closed	5	5	23	21	29								83
	Net Active Files	177	180	188	198	201								201
	Active in Past 12 Months	703	638	570	536	507								
	Median Active Days	22	57	70	72	97								
	Closed in Past 12 Months	526	458	382	338	306								
Median Days to Close	1	1	1	1	13									

		Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	FY 2019
Enforcement Business Name Challenges with MGCS	Open Backlog	155												155
	Files Opened	0	0	0	0	0								0
	Files Closed	0	0	9	7	0								16
	Net Active Files	155	155	146	139	139								139
	Active in Past 12 Months	170	170	170	169	169								
	Median Active Days	1117	1145	1176	1226	1257								
	Closed in Past 12 Months	15	15	24	30	30								
Median Days to Close	958	958	1433	1555	1555									

		Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	FY 2019
Enforcement Total Case Load	Open Backlog	328												328
	Files Opened	9	8	31	31	32								111
	Files Closed	5	5	32	28	29								99
	Net Active Files	332	335	334	337	340								340
	Active in Past 12 Months	873	808	740	705	676								
	Median Active Days	111	154	216	239	278								
	Closed in Past 12 Months	541	473	406	368	336								
Median Days to Close	1	1	1	3	36									



Engineers Canada Update

June 2019

Spring Meetings

From May 23 to May 26, 2019, Engineers Canada held its Spring Meetings in Quebec City. Highlights of the Board meeting included a passed motion to restrict the growth of the Engineers Canada operational budget and limit capital reserves, as well as a generative discussion on threats to self-regulation. The report from the Funding Task Force was received and board members voted on no increases to fees in the next years, the task force was stood down with thanks. At the Annual Meeting of Members, the 2018 audited financial statements were approved and updates to the Engineers Canada bylaw were made to remove outdated language. Finally, at a Saturday ceremony, Annette Bergeron completed her year as President and passed the gavel to David T. Lynch, the 77th president of Engineers Canada. Jean Boudreau of APEGNB was elected as president-elect. We also welcomed five new members of the Board and said farewell to several departing members, including David Brown. David was thanked for his valuable and generous service to Engineers Canada.

Accreditation Improvement Program

On May 13, 2019, we reached a milestone two years in the making for the Accreditation Improvement Program (AIP), with the launch of the Enrolment and Degrees Awarded Survey using our new data management system, Tandem. In preparation for the launch, we delivered five training webinars (two French, three English), which provided users with the knowledge they need to use Tandem's data collection tools and to enter their institution's data. Throughout the data collection cycle, we will continue our support by holding virtual drop-in sessions where users can ask questions about the survey or Tandem. During the life of the AIP, the AIP team has opened 1,032 RAID items (risk, action, issue, decision)—the action items necessary to complete the project. The team has made 104 decisions in meetings and working sessions that add up to a total of 78 working days.

PIEVC and IRP

In May, we issued Request for Proposals (RFP) for two initiatives that are being devolved under the *2019-2021 Strategic Plan*. Following an April [Request for](#)

[Expressions of Interest](#), seven organizations indicated interest in assuming responsibility for Engineers Canada's PIEVC program and were subsequently sent a request for proposals. We also issued an [RFP for organizations to assume responsibility for Engineers Canada's Infrastructure Resilience Professional program](#).

30 by 30

The 30 by 30 Champions Network has decided to divide itself into four, issue-specific working groups (K-12, Post-secondary, Early Career, Professional Development). The goals of this change are to increase the depth to which topics will be addressed during national teleconferences and facilitate Champions' ability to develop programs, improve processes, collaborate on projects, and create change towards the goal of 30 by 30.

Accreditation Board

The National Council of Deans of Engineering and Applied Sciences (NCDEAS), which includes the deans of the 44 accredited higher education institutions, met in Guelph from May 2 to 4, 2019. The CEAB, represented by Bob Dony, CEAB Vice-chair, and Lynn Villeneuve, Accreditation Manager, attended to provide an update on the work of the CEAB. Gerard McDonald, CEO, also provided the update on all other Engineers Canada work. On Saturday, participants attended a session to discuss a potential Canadian version of the engineering Grand Challenges, which exist in the US and the UK.

Engineers Canada Awards

On May 23, 2019, at a gala held in Quebec City, eight outstanding engineers from across Canada received top honours for their contributions to the engineering profession. Presented by Engineers Canada, these awards acknowledge outstanding community and professional involvement, contributions to engineering education, support for women in engineering, contributions by engineers and engineering students to Canadian society, and remarkable engineering projects or achievements. Congratulations to Ontario's Catherine Karakatsanis and Helen Wojcinski! Three engineers were also honoured with the Governor General's Sovereign's Medal for Volunteers, including Ontario's Chris Roney and Jeanette Southwood!

Legislation Committee Update

Purpose: To update Council on the Legislation Committee's recent activities

No motion required

Prepared by: J. Max, Manager, Policy

1. Status Update

- As a board Committee, the Legislation Committee's year coincides with the Council year. 2019-2020 members include Councillors Ausma, Houghton, MacCumber, Notash, and Sinclair. President Hill and President-elect Sterling are ex-officio members. At its first (May 24th) meeting, the LEC selected Lisa MacCumber as Chair and Leila Notash as Vice-Chair. New members of the committee received orientation, and the Committee updated the workplan and directed staff to research best practices in regulation organization.
- To address outstanding proclamations of provisional licence changes to the *Professional Engineers Act* in 2010 before they expire, the committee has proposed changes to Regulation 941, including minor housekeeping matters. The Committee has sent these changes, as well as two regulatory changes regarding academics and examinations, to the Ministry of the Attorney General for drafting, and they are tentatively scheduled for this Fall.
- As a follow-up to the May 1, 2019 fee increases in By-Law No. 1, the Committee is presenting a briefing note at today's meeting asking Council to consider adding eight fees to By-Law No 1 that PEO currently collects but has not listed in the By-Law.
- The committee continues to work on By-Law changes requested by Regional Councillors Committee (RCC) and approved by Council in September 2017. The Legislation Committee is awaiting further clarifications from RCC on the rules for members choosing alternative chapters. In a related matter, staff were approached by RCC to support By-Law changes necessary for standardization of all chapter by-laws, and a legal opinion was sought and received on Council's authority to pass by-law changes regarding chapters. This opinion was forwarded to RCC to assist them in their deliberations on standardizing chapter by-laws.
- The Committee continues to monitor Act and Regulation changes proposed by the government that may conflict with the *Professional Engineers Act*, and to respond appropriately.

2. Background

- Section 30(1) of By-Law No. 1 grants Council the power to appoint the Legislation Committee. The Legislation Committee is not a policy-generating committee.
- By Resolution dated May 8th, 2009, Council appointed the Legislation Committee as a Board Committee, comprised entirely of sitting Councillors.
- The Legislation Committee's mandate in its Terms of Reference (last amended December 2017) is:

“...to provide oversight and guidance to matters pertaining to PEO's Act, Regulation and By-Laws. This will include but not be limited to:

- (i) acting as custodian for PEO Legislation, identifying PEO policies, rules and operational issues which touch on or affect PEO Legislation and providing guidance as to which of these should be put into legislation;
- (ii) overseeing draft changes to PEO Legislation;
- (iii) keeping Council apprised of relevant external Legislative initiatives and changes which may affect PEO Legislation;
- (iv) in accordance with the Regulatory Policy Protocol approved by Council, reviewing all referred policy proposals that involve authority from the Act, Regulations or By-Laws, and providing regulatory impact analysis and recommendations to Council pursuant, and;
- (v) reviewing Ontario legislation that conflicts with the authority or provisions of the *Professional Engineers Act* or its Regulations, and making recommendations for corrective actions pursuant.



**Executive Council of Ontario
Order in Council**

On the recommendation of the undersigned, the Lieutenant Governor of Ontario, by and with the advice and concurrence of the Executive Council of Ontario, orders that:

**Conseil exécutif de l'Ontario
Décret**

Sur la recommandation de la personne soussignée, la lieutenant-gouverneure de l'Ontario, sur l'avis et avec le consentement du Conseil exécutif de l'Ontario, décrète ce qui suit:

Pursuant to subsection 3(2)(b) of the *Professional Engineers Act*, **Arjan Arenja** of Kincardine be appointed as councillor of the Council of the Association of Professional Engineers of Ontario for a fixed term of two years, effective the date this Order in Council is made.

En vertu de l'alinéa 3 (2)b) de la *Loi sur les ingénieurs*, **Arjan Arenja**, de Kincardine, est nommé membre du Conseil de l'Ordre des ingénieurs de l'Ontario pour un mandat fixe de deux ans prenant effet le jour de la prise du présent décret.

Recommended: Premier and President of the Council
Recommandé par: Premier ministre et le président du Conseil

Concurred: Chair of Cabinet
Appuyé par: Le président/la présidente du Conseil des ministres,

Approved and Ordered:
Approuvé et décrété le: JUN 0 6 2019

**Lieutenant Governor
La lieutenant-gouverneure**



**Executive Council of Ontario
Order in Council**

On the recommendation of the undersigned, the Lieutenant Governor of Ontario, by and with the advice and concurrence of the Executive Council of Ontario, orders that:

**Conseil exécutif de l'Ontario
Décret**

Sur la recommandation de la personne soussignée, la lieutenant-gouverneure de l'Ontario, sur l'avis et avec le consentement du Conseil exécutif de l'Ontario, décrète ce qui suit:

Pursuant to subsection 3(2)(b) of the *Professional Engineers Act*, **Vajahat Bandy** of Port Elgin be appointed as councillor of the Council of the Association of Professional Engineers of Ontario for a fixed term of two years, effective the date this Order in Council is made.

En vertu de l'alinéa 3 (2)b) de la *Loi sur les ingénieurs*, **Vajahat Bandy**, de Port Elgin, est nommé membre du Conseil de l'Ordre des ingénieurs de l'Ontario pour un mandat fixe de deux ans prenant effet le jour de la prise du présent décret.

Recommended: Premier and President of the Council
Recommandé par: Premier ministre et le président du Conseil

Concurred: Chair of Cabinet
Appuyé par: Le président/la présidente du Conseil des ministres,

Approved and Ordered:
Approuvé et décrété le: JUN 06 2019

**Lieutenant Governor
La lieutenant-gouverneure**



Ontario

**Executive Council of Ontario
Order in Council**

On the recommendation of the undersigned, the Lieutenant Governor of Ontario, by and with the advice and concurrence of the Executive Council of Ontario, orders that:

**Conseil exécutif de l'Ontario
Décret**

Sur la recommandation de la personne soussignée, la lieutenant-gouverneure de l'Ontario, sur l'avis et avec le consentement du Conseil exécutif de l'Ontario, décrète ce qui suit:

Pursuant to subsection 3(2)(c) of the *Professional Engineers Act*, **Sherlock Sung** of Richmond Hill be appointed as councillor of the Council of the Association of Professional Engineers of Ontario for a fixed term of two years, effective the date this Order in Council is made.

En vertu de l'alinéa 3 (2)c) de la *Loi sur les ingénieurs*, **Sherlock Sung**, de Richmond Hill, est nommé membre du Conseil de l'Ordre des ingénieurs de l'Ontario pour un mandat fixe de deux ans prenant effet le jour de la prise du présent décret.

Recommended: Premier and President of the Council
Recommandé par: Premier ministre et le président du Conseil

Concurred: Chair of Cabinet
Appuyé par: Le président/la présidente du Conseil des ministres,

Approved and Ordered:
Approuvé et décrété le: MAY 16 2019

**Administrator of the Government
L'administrateur du gouvernement**

Below are the highlights of what RCC dealt with since March 21-22 Council meeting.

RCC meeting on April 06th @ PEO:

- PEO Volunteer Code of Conduct: Based on the following Council motion; That Council direct the RCC to develop a process to ensure the safety and security of volunteers and participants who engage with PEO's various outreach activities. CARRIED; RCC discussed several potential approaches to respond to the motion and decided to reach out to the experts at the PEO's People Development department for assistance in the matter. To keep in line with the Council's directive, People Development addressed the necessity of developing and implementing a PEO Volunteer Code of Conduct to all PEO's volunteers as a starting point, which is now under development and is expected to be presented at the June Council meeting.
- Finance: RCC created a subcommittee which will discuss enhancements to the current Chapter Business Plan in order to make it more effective and objective. The plan is to have complete changes completely and approved by RCC by April 2020, so chapters can use the updated version of the document going forward.
- Chapter By-Laws: RCC created a subcommittee which will work on the Chapter By-Laws Standardization process. Based on legal opinion acquired earlier in the year, which stated that chapters are not separated entities, the plan is to review all chapter by-law, remove common features which are repeated in several chapter by-laws or is redundantly mentioned in By-Law No.1 and either review By-Law No.1 with respect to chapter or create a By-Law No.2.
- RCC Chapter Special Project Fund: RCC approved two Special Project Funds Request → Ottawa Project Fund: \$4,344.00 and Grand River Project Fund: \$2,000.00.
- Regional Offices: RCC decided to cease with the operations of both, Western and Northern Regional Offices as of 2020. Both offices have been communicated and there is no penalties related to the termination.

RCC meeting on May 04th after AGM @ Hilton Hotel, Toronto:

- Election of 2019-2020 RCC Chair and Vice-Chair
 - Elected 2019-2020 Chair - S. Robert, P.Eng.
 - Elected 2019-2020 Vice Chair - G. Boone, P.Eng

Regional Congress Open Issues

<i>Issue</i>	<i>Date Opened</i>	<i>Motion Text</i>	<i>Mover Second</i>	<i>Update Description</i>	<i>Meeting</i>	<i>Revision Date</i>	<i>Recommendation</i>	<i>Closed</i>	<i>Action By</i>
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East Central

54	Sep/2018	ECRC requests RCC to review the internal licensing process and provide streamlined internal steps to getting licenses and provide open communication with applicants.	,		RCC	06-Apr-19	Remain Open	<input type="checkbox"/>	
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<i>Issue</i>	<i>Date Opened</i>	<i>Motion Text</i>	<i>Mover Second</i>	<i>Update Description</i>	<i>Meeting</i>	<i>Revision Date</i>	<i>Recommendation</i>	<i>Closed</i>	<i>Action By</i>
55	Feb/2019	For the purpose of open and transparent chapter elections, ECRC asks RCC to adjust the chapter membership policy, such that a member who belongs to an Alternate Chapter (either manually through PEO or through EPIM), but has been a member of said Alternate Chapter for less than one year, cannot change their chapter affiliation until the one year period expires, with the following exceptions: 1. The member moved his/her principal residence to within the chapter boundaries before the 1 year period expired, or 2. The member started employment inside the chapter boundaries before the 1 year period expired.	A. Lee, G. Merrill	RCC Update: deferred as part of the bylaw reform	RCC	06-Apr-19	remain open	<input type="checkbox"/>	

<i>Issue</i>	<i>Date Opened</i>	<i>Motion Text</i>	<i>Mover Second</i>	<i>Update Description</i>	<i>Meeting</i>	<i>Revision Date</i>	<i>Recommendation</i>	<i>Closed</i>	<i>Action By</i>
56	Feb/2019	Whereas an "EIT" is someone who at minimum has graduated from an accredited Canadian engineering program, and at maximum has years of technical experience abroad; and Whereas the meaning of "EIT" is well-known as "Engineer in Training", and little known as "Engineering Intern"; and Whereas no other provincial regulator refers to their EIT's as "Interns"; and Whereas the title of "Intern" is assumed by the public to be a student who has not yet graduated, therefore this title is detrimental to an individual's credibility; and Whereas the perception that an "Intern" is a student is supported by the majority of job postings with the title "Engineering Intern" requiring no completed Bachelor's Degree; and Whereas this is ultimately detrimental to promoting new entrants into the profession which is in exact conflict with promoting Engineers Canada's 30 by 30 goals; ECRC motions that PEO should define an "EIT" as an "Engineer In Training", and cease the use of "Engineering Intern" immediately.	L. Nana Kouadjo, V. Lan	RCC Update: This issue will be brought as an action to the PEO Registrar.	RCC	06-Apr-19	Remain Open	<input type="checkbox"/>	

<i>Issue</i>	<i>Date Opened</i>	<i>Motion Text</i>	<i>Mover Second</i>	<i>Update Description</i>	<i>Meeting</i>	<i>Revision Date</i>	<i>Recommendation</i>	<i>Closed</i>	<i>Action By</i>
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West Central

40	Jun/2017	WCRC motions RCC to direct a CASL compliance plan review in December 2017.	G. Ayer, D. Gomes		RCC	06-Apr-19	Remain Open	<input type="checkbox"/>	
41	Jun/2018	WCRC requests that PEO IT Department provide a timeline and associated budget to support the RCC decision to collect express consent, in order to be CASL compliant when sending Campaigner communications.	G Ayer, D Gomes		RCC	06-Apr-19	Remain Open	<input type="checkbox"/>	

<i>Issue</i>	<i>Date Opened</i>	<i>Motion Text</i>	<i>Mover Seconder</i>	<i>Update Description</i>	<i>Meeting</i>	<i>Revision Date</i>	<i>Recommendation</i>	<i>Closed</i>	<i>Action By</i>
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Northern

45	Sep/2017	NRC requests the RCC to provide a time table of completions for the portal to allow P.Eng applicants to track the status of his/her application progress. This portal should track completed steps as well as outstanding application milestones.	L. Keats, D. Jackowski	RCC Update: no current plans to build an application status tracker separate from any on-line process that is eventually built.	RCC	06-Apr-19	Remain Open	<input type="checkbox"/>	
46	Jun/2018	The Northern Region moves to request RCC acquire clarification from Council on criteria for eligible PEAK courses as an important area of non technical knowledge appears to have been missed, which has a direct impact on worker safety, such as OHS regulations	S Schelske, M Wesa		RCC	06-Apr-19	Remain Open	<input type="checkbox"/>	

<i>Issue</i>	<i>Date Opened</i>	<i>Motion Text</i>	<i>Mover Seconder</i>	<i>Update Description</i>	<i>Meeting</i>	<i>Revision Date</i>	<i>Recommendation</i>	<i>Closed</i>	<i>Action By</i>
47	Sep/2018	NRC moves that the PPE pass letter process of the 90-day deadline be improved to allow for warning communications (such as a 30 day warning) to be sent prior to file closure.	S. Back, Y. Mejias de Pernia		RCC	06-Apr-19	Remain Open	<input type="checkbox"/>	
48	Feb/2019	NRC motions that PEO implement a notification letter for applicants in advance of file closure, to include information on how to re-apply and a reminder that once a file is closed an applicant can no longer use the EIT designation.	D. Ch'ng, L. Keats	RCC Update: The RCC supports this issue and will bring to Council	RCC	06-Apr-19	Remain Open	<input type="checkbox"/>	

<i>Issue</i>	<i>Date Opened</i>	<i>Motion Text</i>	<i>Mover Second</i>	<i>Update Description</i>	<i>Meeting</i>	<i>Revision Date</i>	<i>Recommendation</i>	<i>Closed</i>	<i>Action By</i>
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Eastern

116	Sep/2018	Establish a manual, handbook on how things are done with regard to police checks when working with children and to follow up on the status of the police check issue.	G. Houze, A. Yazdani	RCC Update: RCC is developing a volunteer code of conduct. Additionally RCC has requested advise on the police check issue from the Registrar.	RCC	06-Apr-19	Remain Open	<input type="checkbox"/>	
118	Feb/2019	ERC requests Jeannette Chau, through RCC, to review PEO's contract with consultant Howard Brown and consider cost-saving action of eliminating the contracted services, with the understanding that the inhouse full-time staff member (Jeannette Chau) can perform the duties of the GLP program.	L. Ryan, A. Yazdani	RCC Update: RCC recognizes a concern from the region. The budget was slashed 10% across the board. QP day has been cut as were other areas. Brown and Cohen does valid work with PEO and does not impact the budget.	RCC	06-Apr-19	Remain Open	<input type="checkbox"/>	

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Western

57	Sep/2017	Whereas the chapters are finding the PEO's interpretation of the Canadian Anti-Spam Legislation (CASL) policy is too restrictive. WRC supports WCRC open issue 40 and requests RCC to have the current policy reviewed and provide direction that meets the minimum requirements to accept a more reasonable level of risk associated with the CASL requirements.	W Kershaw, G Tse		RCC	06-Apr-19	Remain Open	<input type="checkbox"/>	
60	Jun/2018	WRC motions that Chapters who have amended their by-laws and submitted them for review need them to be brought to RCC for review and approval	V Adunuri, A Khan	RCC Update: This issue will be referred to the RCC working group.	RCC	06-Apr-19	Remain Open	<input type="checkbox"/>	

<i>Issue</i>	<i>Date Opened</i>	<i>Motion Text</i>	<i>Mover Seconder</i>	<i>Update Description</i>	<i>Meeting</i>	<i>Revision Date</i>	<i>Recommendation</i>	<i>Closed</i>	<i>Action By</i>
61	Sep/2018	WRC moves to ask RCC to prioritize this issue, and to provide the region with a timeline, to be reported at the next WRC congress, so that within the next year chapters can amend their by-laws; and if chapter by-law amendments do not conflict with current By-Law No.1, to permit the ratification of said amendments.	W. Kershaw, Asif Khan	RCC Update: This issue will be referred to the RCC working group.	RCC	06-Apr-19	Remain Open	<input type="checkbox"/>	

COMPLAINTS & INVESTIGATION STATISTICS

	2017	2018	2019 (May 31)
COC's Caseload			
Filed Complaints ¹ not disposed of by COC at previous year-end	75	65	70
Complaints Filed (<i>PEAct s. 24. 1(a)</i>) during the Year	63	58	81
Total Caseload in the Year	138	123	151
Total Filed Complaints Disposed of by COC in the Year (for details see <i>COC's Disposition of Complaints</i> below)	73	53	14
Total Filed Complaints Pending for COC Disposition (for details see <i>Status of Active Filed Complaints</i> below)	65	70	137
COC's Disposition of Complaints			
Direct that the matter be referred, in whole or in part, to the Discipline Committee. (<i>PEAct s. 24. 2(a)</i>)	8	5	0
Direct that the matter not be referred. (<i>PEAct s. 24. 2(b)</i>)	44	28	8
Take such action as COC considers appropriate in the circumstances and that is not inconsistent with this Act or the regulations or by-laws. (<i>PEAct s. 24. 2(c)</i>)	21	20	6
COC's Timeliness Regarding the Disposition of the Complaint²			
Complaint disposed of within 90 days of filing	0	0	0
Complaint disposed of between 91-180 days of filing	7	3	0
Complaint disposed of after more than 180 days of filing	66	50	14
COC Processing Time – Days from Complaint Filed to COC Disposition (12 mo rolling avg.)			
Average # Days	562	475	489
Minimum # Days	97	168	168
Median # Days	343	342	344
Maximum # Days	2327	2183	2183

¹ Signed Complaint Form filed with the Registrar.

² Days from Complaint Filed to date COC Decision is signed by COC Chair.

Status of Active Filed Complaints

Active Filed Complaints - Total		137
<i>Complaints filed more than 180 days ago</i>	48	48
Pending Approval and Reason regarding COC Decision	18	
Complaints under active consideration by COC	9	
Completed Investigation ready for COC consideration	0	
Regulatory Compliance Investigation	21	
<i>Complaints filed between 91-180 days ago</i>	25	25
Pending Approval and Reason regarding COC Decision	5	
Complaints under active consideration by COC	0	
Completed Investigation ready for COC consideration	0	
Regulatory Compliance Investigation	20	
<i>Complaints filed within the past 90 days</i>	64	64
Pending Approval and Reason regarding COC Decision	0	
Complaints under active consideration by COC	0	
Completed Investigation ready for COC consideration	0	
Regulatory Compliance Investigation	64	

Note:

Review by Complaints Review Councillor (PEAct s. 26. (s))

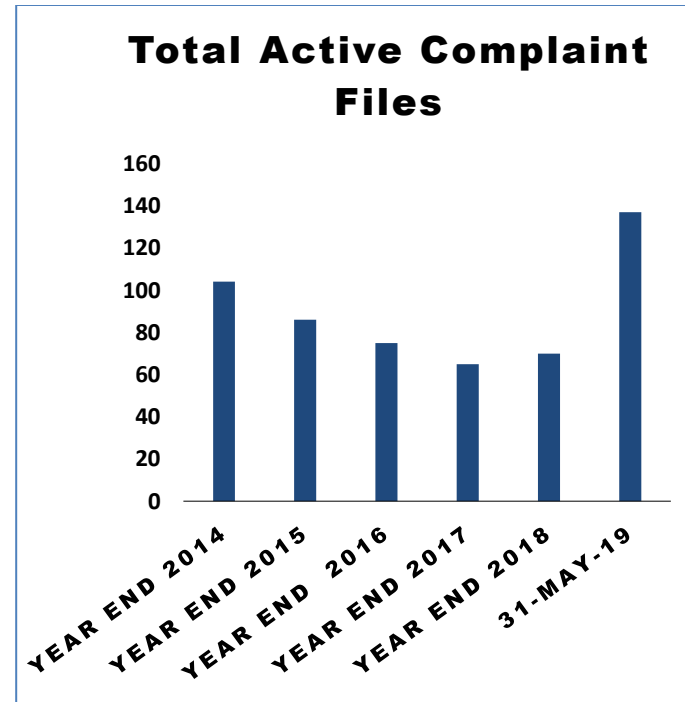
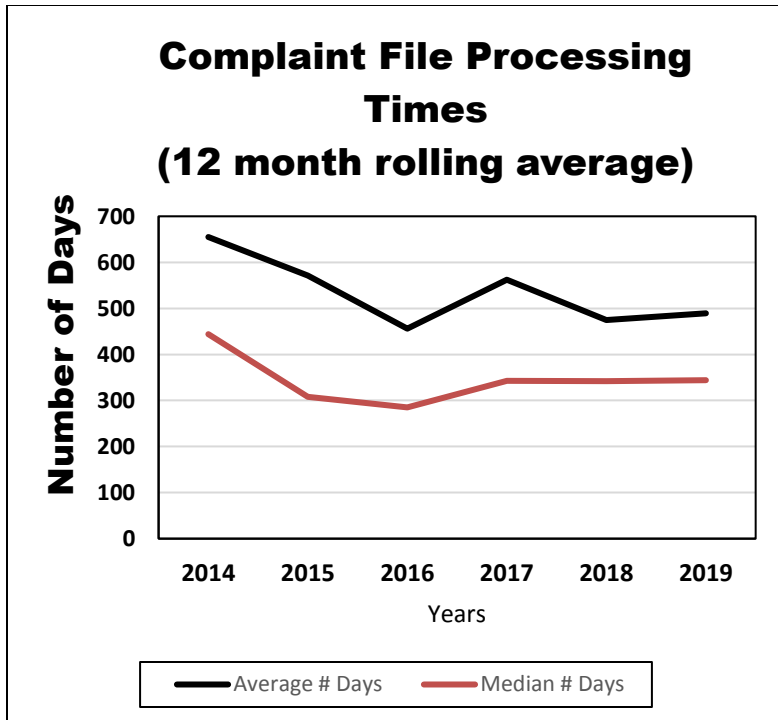
Where a complaint respecting a member of the Association or a holder of a certificate of authorization, a temporary licence, a provisional licence or a limited licence has not been disposed of by the Complaints Committee **within ninety days** after the complaint is filed with the Registrar, upon application by the complainant or on his or her own initiative the Complaints Review Councillor may review the treatment of the complaint by the Complaints Committee.

Glossary of Terms:

Complaint Filed – Signed Complaint Form filed with the Registrar.

Investigation Complete – Investigation Summary document prepared and complaint file ready for COC consideration

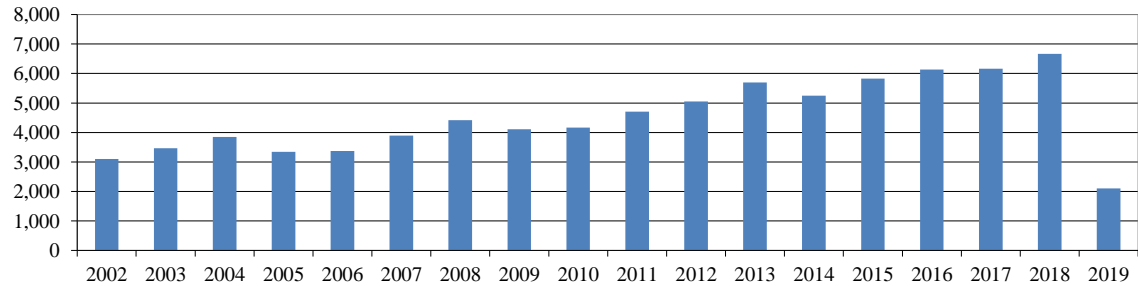
Complaints and Investigation Statistics (As at May 31, 2019)



**PROFESSIONAL ENGINEERS ONTARIO
APPLICATIONS RECEIVED
2002 - 2019**

	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018
JANUARY	341	539	440	364	316	308	372	336	393	414	397	440	530	561	453	565	599
FEBRUARY	222	260	345	259	319	257	234	338	276	278	384	422	380	422	460	403	422
MARCH	234	169	298	340	316	272	345	379	373	453	398	428	395	368	265	435	436
APRIL	277	279	304	269	291	280	381	294	239	338	297	414	361	356	484	383	472
MAY	299	394	425	270	298	293	278	279	303	314	353	394	324	292	450	415	451
JUNE	220	221	337	264	273	279	332	320	306	322	374	388	356	472	421	485	482
JULY	265	200	297	286	254	355	460	395	332	398	482	529	486	555	554	513	603
AUGUST	269	357	272	301	285	367	413	326	358	493	508	505	495	547	638	601	661
SEPTEMBER	352	455	382	254	251	333	415	402	383	451	388	512	542	466	567	586	557
OCTOBER	206	257	253	263	282	396	419	428	372	469	540	646	568	648	566	664	672
NOVEMBER	238	190	236	304	226	505	430	340	497	481	503	525	416	565	754	651	802
DECEMBER	178	140	261	168	260	248	334	270	336	295	432	491	392	576	525	460	510
TOTAL	3,101	3,461	3,850	3,342	3,371	3,893	4,413	4,107	4,168	4,706	5,056	5,694	5,245	5,828	6,137	6,161	6,667
MONTHLY AVERAGE	258	288	321	279	281	324	368	342	347	392	421	475	437	486	511	513	556
YEAR TO DATE	3,101	3,461	3,850	3,342	3,371	3,893	4,413	4,107	4,168	4,706	5,056	5,694	5,245	5,828	6,137	6,161	6,667

Applications Received - Year To Date

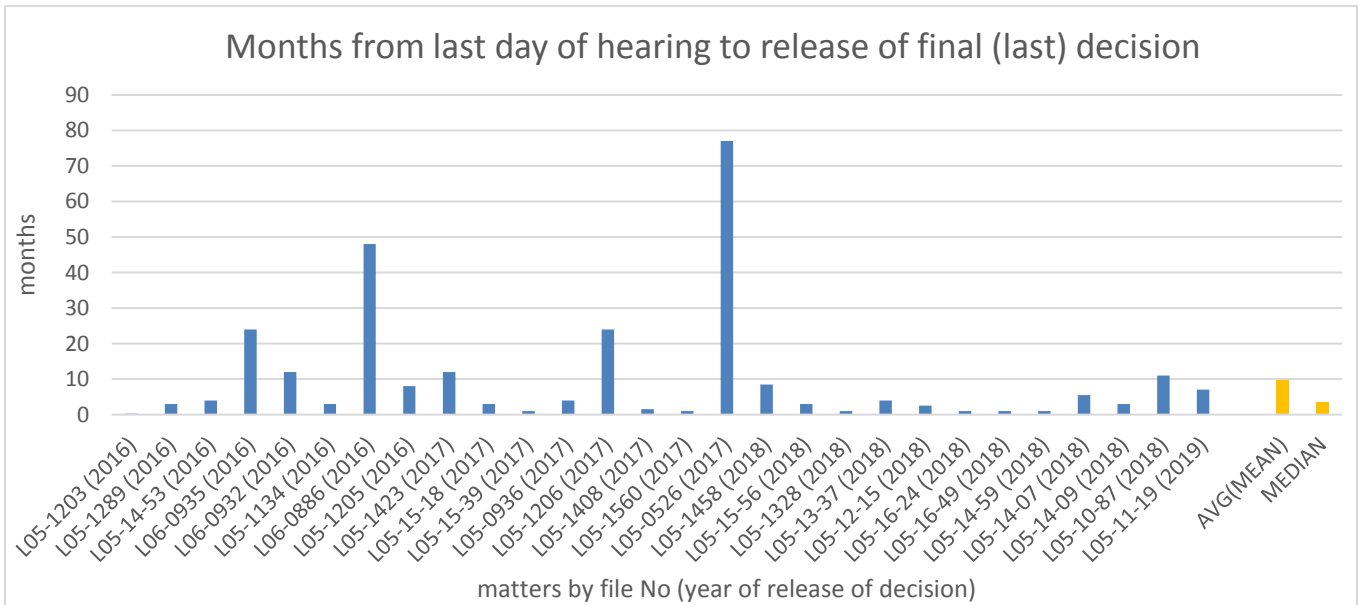


DISCIPLINE STATISTICS – June 2019 Council Meeting Report

Discipline Phase

2017 2018 2019
(as of June 3)

Matters Referred to Discipline	8	5	0
Matters Pending (Caseload)	14	8	8
Written Decisions Issued	10	11	1
<i>DIC Activity</i>			
Pre-Hearing Conferences Held	8	7	1
Hearings Phase commenced (but not completed)	1	0	0
Hearings Phase completed (but no D&R issued)	2	3	3



REGISTRATION STATISTICS – June 2019 Council Meeting Report

Registration Phase

	2017	2018	2019 (as of June 3)
Requests for Hearing	3	0	3
Premature Applications (No Notice of Proposal)	1	0	0
Matters Pending (Caseload)	6	1*	3
Written Final Decisions Issued	5	2	1
Appeals to the Divisional Court	0	0	0
<i>REC Activity</i>			
Pre-Hearing Conferences Held	2	1	0
Hearings Phase completed, but no D&R issued	0	0	0

* The Registrar granted a license in 2018 to two of the applicants; no hearing required; closed the files.